# 510(k) SUBSTANTIAL EQUIVALENCE DETERMINATION DECISION SUMMARY

k131508

**A.** 510(k) Number:

# **B.** Purpose for Submission:

New device

#### C. Measurand:

LSI D7S486 probe target on chromosome 7q31 and the CEP 7 probe target on chromosome 7p11.1-q11.1

# D. Type of Test:

Fluorescence in-situ hybridization (FISH)

# E. Applicant:

Abbott Molecular, Inc.

#### F. Proprietary and Established Names:

Vysis D7S486/CEP 7 FISH Probe Kit

# **G.** Regulatory Information:

# 1. Regulation section:

21 CFR 864.1870, Early growth response 1 (EGFR1) gene fluorescence in-situ hybridization (FISH) test system for specimen characterization.

# 2. Classification:

II

#### 3. Product code:

PFG - DNA FISH probe kit for specimen characterization, human chromosome, hematological disorders

#### 4. Panel:

Hematology and Pathology Devices Panel

#### H. Intended Use:

#### 1. Intended use(s):

The Vysis D7S486/CEP 7 FISH Probe Kit is a device intended for specimen characterization, and detects the LSI D7S486 probe target on chromosome 7q31 and the CEP 7 probe target on chromosome 7p11.1-q11.1 in bone marrow and peripheral blood specimens from patients with acute myeloid leukemia or myelodysplastic syndrome. The assay results are intended to be interpreted by a qualified pathologist or cytogeneticist. This device is not intended for high-risk uses such as selecting therapy, predicting therapeutic response or disease screening. The use of this device for diagnosis, prognosis, monitoring, or risk assessment has not been established.

#### 2. Indication(s) for use:

Same as intended use

# 3. Special conditions for use statement(s):

For prescription use only, with the following labeling warning:

- The assay results are intended to be interpreted only by a qualified pathologist or cytogeneticist.
- The Vysis D7S486/CEP7 FISH Probe Kit is not for high-risk uses such as selecting therapy, predicting therapeutic response or disease screening.
- The use of this product for diagnosis, prognosis, monitoring, or risk assessment has not been established.
- Caution: Federal law restricts this device to sale by or on the order of a physician or other practitioner licensed by the law of the State in which he practices, to use or order the use of the device.

#### 4. Special instrument requirements:

Fluorescence microscope equipped with appropriate excitation and emission filters.

#### I. Device Description:

The Vysis D7S486/CEP 7 FISH Probe Kit is a device intended for specimen characterization. The device uses DNA FISH probe technology to detect the LSI D7S486 probe target on chromosome 7q31 and the CEP 7 probe target on chromosome 7p11.1-q11.1 in bone marrow and peripheral blood specimens. The Vysis D7S486/CEP 7 FISH Probe Kit consists of a mixture of two DNA FISH probes (item 1 below) and four general purpose reagents (items 2 through 5 below) which are sufficient to process 20 assays:

- 1. Vysis LSI D7S486 SpectrumOrange and CEP 7 SpectrumGreen Probes:
  - a. The SpectrumOrange labeled LSI D7S486 probe is approximately 308 kb in

length (chr7:115983468-115675366; February 2009 Assembly UCSC Human Genome Browser).

- b. The SpectrumGreen labeled CEP 7 probe targets the D7Z1 alpha satellite sequence at the centromere of chromosome 7.
- 2. Vysis LSI/WCP Hybridization Buffer
- 3. DAPI II Counterstain
- 4. NP-40
- 5. 20X SSC

# J. Substantial Equivalence Information:

1. Predicate device name(s):

Vysis EGR1 FISH probe Kit- SC (Specimen Characterization)

2. Predicate 510(k) number(s):

K123951

# 3. Comparison with predicate:

Similarities						
Item	Device	Predicate				
Indications for Use	For specimen characterization from patients with acute myeloid leukemia and myeloplastic syndrome	Same				
Technology	Fluorescence In Situ Hybridization	Same				
Kit components	DNA fluorophore labeled Probes, Vysis LSI/WCP Hybridization buffer, DAPI II, NP-40 and 20XSSC	Same				

Differences							
Item	Device	Predicate					
Intended Use	Detection of LSI D7S486	Detection of LSI EGR1					
	probe target on chromosome	probe target on					
	7q31 and the CEP 7 probe	chromosome 5q.					
	target on chromosome						
	7p11.1-q11.1						
Upper reference limit	4.5% 1 Red, 1 Green (1R1G)	6%					
	6.5% 1 Red, 2 Green (1R2G)						

Differences							
Item Device Predicate							
Specimen Type	Bone marrow and peripheral	Bone marrow					
	blood						

#### K. Standard/Guidance Document Referenced (if applicable):

Not applicable

#### L. Test Principle:

FISH is a technique that allows visualization of specific nucleic acid sequences within a cellular preparation. Specifically, FISH involves precise annealing of a single-stranded, fluorophore-labeled DNA probe to a complementary target sequence. Hybridization of the probe with the cellular DNA is visible using fluorescence microscopy.

Bone marrow and peripheral blood cell specimens from patients are attached to microscope slides using standard cytogenetic procedures. The resulting DNA specimen is denatured to single-stranded form and subsequently allowed to hybridize with the probes of the Vysis D7S486/CEP 7 FISH Probe Kit. Following hybridization, the unbound probe is removed by a series of washes, and the nuclei are counterstained with DAPI II, a DNA-specific stain that fluoresces blue. Hybridization of the Vysis LSI D7S486 SpectrumOrange/CEP 7 SpectrumGreen Probes is viewed using a fluorescence microscope equipped with appropriate excitation and emission filters, allowing visualization of the orange and green fluorescent signals.

In a cell with normal copy numbers of the Vysis LSI D7S486 SpectrumOrange/CEP 7 SpectrumGreen probe targets, two orange (2R) signals (D7S486) and two green (2G) signals (CEP 7) will be expected. In a cell having only one copy of chromosome 7, 1 orange, 1 green (1R1G) pattern is expected, and in a cell with loss of 7q, 1 orange, 2 green (1R2G) pattern is expected.

FISH results are interpreted by utilizing appropriate controls and analytical techniques as well as taking into consideration other clinical and diagnostic test data.

#### M. Performance Characteristics (if/when applicable):

#### 1. Analytical performance:

# a. Precision/Reproducibility:

Repeatability and reproducibility of the Vysis D7S486/CEP 7 FISH Probe Kit were tested as shown in the table below:

Study	Study Protocol	Conclusion
Precision and	Testing Panel	Acceptance
Reproducibility:	Number of bone marrow specimens: 6 panel	criteria met
Intra-Day,	members	
Inter-Day and	<ul> <li>2 high positive panel members (High Positive 1</li> </ul>	
Inter-Site		

	and 2 with >45% positivity)	
	- 2 low positive panel members (Low Positive 1	
	and 2)	
	- 2 negative panel members (Negative 1 and 2)	
	Number of peripheral blood specimens: 6 panel	
	members	
	<ul> <li>2 high positive panel members (High Positive 1 and 2 with &gt;45% positivity)</li> </ul>	
	<ul> <li>2 low positive panel members (Low Positive 1 and 2)</li> </ul>	
	- 2 negative panel members (Negative 1 and 2)	
	Study Design	
	• Number of test sites: 3	
	Number of testing days: 5 non-consecutive	
	Number of replicates: 2	
	Number of lots tested: 1	
	Signal Patterns	
	- 1 Red, 1 Green (1R1G)	
	- 1 Red, 2 Green (1R2G)	
	Number of technologists: a minimum of 2 and a	
	maximum of 3 (if third reader needed per package	
	insert)	
	Number of nuclei evaluated per panel by each	
	technologist: 100 nuclei	
	Pre-specified acceptance criteria:	
	• High Positive - ≥95% for the high positive	
	specimen category for each site	
	• Negative −≥90% for the negative specimen	
	category across all sites with no more than 3	
	discordant results occurring at one site	
Lot-to-Lot	Testing panel same as above	Acceptance
Reproducibility	N. 1. (1.4.4.1.2	criteria met
	• Number of lots tested: 3	
	Number of replicates: 4	
	• Number of sites: 1	
	• Signal Patterns	
	- 1 Red, 1 Green (1R1G)	
	- 1 Red, 2 Green (1R2G)	
	• Number of technologists: a minimum of 2 and a	
	maximum of 3 (if third reader needed per package	
	insert)	
	Number of nuclei evaluated per panel by each technologists 100 pyeloi.	
	technologist: 100 nuclei	

Pre-specified acceptance criteria:

- High Positive  $\ge 95\%$  for the high positive specimen category across all lots
- Negative  $\ge 87\%$  for the negative specimen category across all lots with no more than 2 discordant results occurring for 1 lot

**Overall Agreement Site-to-Site for Bone Marrow Specimen** 

		Number					
Category	Signal	Agree <sup>a</sup>	Disagree <sup>b</sup>	Total	Percent		
					Agreement		
Negative	1R2G	60	0	60	100%		
Low Positive	1R2G	53	7	60	88%		
High Positive	1R2G	60	0	60	100%		
Negative	1R1G	60	0	60	100%		
Low Positive	1R1G	58	2	60	97%		
High Positive	1R1G	60	0	60	100%		

Overall Agreement Site-to-Site for Perinheral Blood Specimen

O VOTAIN 71	greement site	Number				
Category	Signal	Agree <sup>a</sup>	Disagree <sup>b</sup>	Total	Percent Agreement	
Negative	1R2G	60	0	60	100%	
Low Positive	1R2G	57	3	60	95%	
High Positive	1R2G	60	0	60	100%	
Negative	1R1G	60	0	60	100%	
Low Positive	1R1G	56	4	60	93%	
High Positive	1R1G	60	0	60	100%	

Site-to-Site Analysis of Variance Components for Bone Marrow Specimen

				Within Day	Between Day	<b>Between Site</b>	
Sample	Signal	N	mean	(Component)	(Component)	(Component)	Total
				SD	SD	SD	SD
Negative 1	1R2G	30	0.5	0.27	0.32	0.06	0.43
Negative 2	1R2G	30	0.4	0.38	0.14	0.24	0.47
Low Positive 1	1R2G	30	10.5	2.11	1.41	1.14	2.78
Low Positive 2	1R2G	30	10.0	3.19	0.00	2.33	3.95
High Positive 1	1R2G	30	43.9	6.76	0.00	7,63	10.19

<sup>&</sup>lt;sup>a</sup> Agree is number of concordant slides.
<sup>b</sup> Disagree is number of discordant slides.

<sup>&</sup>lt;sup>a</sup> Agree is number of concordant slides.
<sup>b</sup> Disagree is number of discordant slides.

High Positive 2	1R2G	30	42.0	4.61	0.0	5.44	7.13
Negative 1	1R1G	30	0.5	0.67	0.14	0.49	0.84
Negative 2	1R1G	30	0.3	0.37	0.00	0.25	0.44
Low Positive1	1R1G	30	8.9	2.61	0.00	1.19	2.87
Low Positive2	1R1G	30	9.3	2.43	0.00	0.00	2.43
High Positive 1	1R1G	30	48.3	6.20	1.30	8.06	10.25
High Positive 2	1R1G	30	43.9	3.78	3.97	4.19	6.90

Site-to-Site Analysis of Variance Components for Peripheral Blood Specimen

				Within Day	<b>Between Day</b>	Between Site	
Sample	Signal	N	mean	(Component)	(Component)	(Component)	Total
				SD	SD	SD	SD
Negative 1	1R2G	30	0.4	0.53	0.27	0.38	0.71
Negative 2	1R2G	30	0.4	0.42	0.25	0.00	0.49
Low Positive 1	1R2G	30	10.4	2.46	0.00	2.03	3.19
Low Positive 2	1R2G	30	12.5	2.57	0.00	0.38	2.60
High Positive 1	1R2G	30	42.1	3.26	2.05	5.82	6.98
High Positive 2	1R2G	30	52.8	4.07	1.82	2.15	4.95
Negative 1	1R1G	30	0.3	0.65	0.00	0.32	0.72
Negative 2	1R1G	30	0.4	0.47	0.20	0.25	0.57
Low Positive1	1R1G	30	9.1	2.61	0.00	0.55	2.67
low Positive2	1R1G	30	6.9	2.35	0.52	0.27	2.42
High Positive 1	1R1G	30	44.8	3.77	0.00	5.35	6.55
High Positive 2	1R1G	30	38.8	3.90	3.17	1.63	5.29

**Lot-to-Lot Overall Agreement for Bone Marrow Specimen** 

G I		Percent			
Sample	Signal		Number		Agreement
		Agree			
Negative	1R2G	24	0	24	100%
Low Positive	1R2G	21	3	24	88%
High Positive	1R2G	24	0	24	100%
Negative	1R1G	24	0	24	100%
Low Positive	1R1G	22	2	24	92%
High Positive	1R1G	24	0	24	100%

# **Lot-to-Lot Overall Agreement for Peripheral Blood Specimen**

Sample	Signal	Number		Percent Agreement	
		Agree	Disagree	Total	
Negative	1R2G	24	0	24	100%

Low Positive	1R2G	24	0	24	100%
High Positive	1R2G	24	0	24	100%
Negative	1R1G	24	0	24	100%
Low Positive	1R1G	23	1	24	96%
High Positive	1R1G	24	0	24	100%

# b. Linearity/assay reportable range:

Not applicable

c. Traceability, Stability, Expected values (controls, calibrators, or methods):
Kit stability

The kit stability was assessed as detailed in the table below:

Study	Study Protocol	Conclusion
Real-Time Stability	Attributes evaluated: signal intensity, specificity, background, and cross-hybridization  Number of lots of the test device: 3  Number of specimens: 1 (Normal lymphocyte slides)  Number of replicates: 3  Components of the kit:  Vysis LSI D7S496/CEP 7 Probe  LSI/WCP Hybridization Buffer  20X SSC  DAPI II  NP-40  Pre-specified acceptance criteria:  Acceptable quality of all evaluated attributes, for all samples tested	Acceptance criteria met for 12 month stability
Transport and Temperature Extreme (TTE) and	<ul> <li>Device components were removed from -20°C and placed at 30°C (±2°C) for 4 hours ± 15 minutes and 3 cycles of 24-48 hours on dry ice and then at 30°C (±2°C) for 4 hours ±15 minutes</li> <li>1 lot of the device</li> <li>3 specimens</li> <li>Attributes evaluated: Same as above</li> <li>Pre-specified acceptance criteria: Same as above</li> </ul>	Acceptance criteria met

In-Use Freeze- Thaw Stability	<ul> <li>A series of 20 freeze-thaw cycles was performed on the probes, hybridization buffer and DAPI II counterstain.</li> <li>1 lot of the device</li> <li>3 specimens</li> <li>Attributes evaluated: Same as above</li> <li>Pre-specified acceptance criteria: Same as above</li> </ul>	Acceptance criteria met throughout and at the end of 20 freeze-thaw cycles
Post- hybridization Signal Stability	<ul> <li>A single slide from each of 3 bone marrow and 3 peripheral blood specimens was tested at baseline and then stored at -20°C ± 10°C protected from light</li> <li>The samples were tested at the following time points: Day 1, Day 8, Day 21, and Day 28</li> <li>1 lot of the device</li> <li>Attributes evaluated: Same as above</li> <li>Pre-specified acceptance criteria: Same as above</li> </ul>	Post-hybridization signal stability met acceptance criteria at all time points up to Day 28 which support 3 weeks post-hybridized slides stability.
Probe Photostability	<ul> <li>Procedure: The Vysis D7S486         SpectrumOrange/CEP 7         SpectrumGreen probes were         continuously exposed to white         fluorescent light (to mimic standard         laboratory conditions) at 15-30°C for         difference lengths of time.</li> <li>Time intervals: 0 hour, 24 hours, 72         hours, 1 week, 2 weeks, 3 weeks, 4         weeks, 6 weeks, and 8 weeks</li> <li>Number of lots of the test device: 1</li> <li>Attributes evaluated: Same as above</li> <li>Pre-specified acceptance criteria:         Same as above</li> </ul>	Acceptance criteria met for Photo-stability of 8 weeks.

## Controls:

There are no external calibrators or controls associated with this device. For any FISH probe, the best control to assure the correct hybridization occurs is signal production at the intended target sequence.

# d. Detection limit:

The analytical sensitivity of the Vysis D7S486/CEP 7 Probes was established using interphase nuclei from 25 bone marrow and 25 peripheral blood specimens from

either karyotypically normal individuals or patients with a 1R1G signal pattern and 1R2G signal pattern. The orange and green signal patterns of 200 nuclei for each specimen type were evaluated by 2 technologists each scoring 100 nuclei per specimen for a total of 200 nuclei per specimen. A total of 5000 nuclei were scored from normal specimens. The Vysis D7S486/CEP 7 FISH Probe Kit has an analytical sensitivity of 98.1% (4903/5000) (95% CI 97.6-98.4%) for bone marrow and 98.5% (4923/5000) (95% CI 98.1-98.8%) for peripheral blood.

Probe	Specimen	Nuclei with Expected Signal Pattern	Total Number of Nuclei Scored	Analytical Sensitivity (95% Confidence Interval)
LSI D7S486	Bone marrow	4903	5000	98.1 (97.6, 98.40)
LSI D7S486	Peripheral blood	4923	5000	98.5 (98.1, 98.88)

## e. Analytical specificity:

Analytical specificity is defined as the percentage of signals that hybridize to the correct locus and no other location. The analytical specificity of the Vysis LSI D7S486 SpectrumOrange/CEP 7 SpectrumGreen Probes for their respective chromosome target loci (7q31 and 7p11.1-q11.1, respectively) was established using metaphase chromosomes prepared from peripheral blood cultures of 4 male and 1 female karyotypically normal specimens. The hybridization location of each FISH signal on chromosomes of 100 consecutive metaphase nuclei was evaluated by 1 technologist for a total of 200 target loci per probe.

For each probe and sample, the number of metaphase chromosome FISH signals hybridized to the correct locus and the number of metaphase chromosome FISH signals hybridized to the incorrect locus were enumerated. The analytical specificity of each probe was calculated as the number of metaphase chromosome FISH signals hybridized to the correct locus divided by the total number of metaphase chromosome FISH signals hybridized and multiplied by 100 to give a percentage.

The analytical specificity of the Vysis D7S486/CEP 7 FISH Probe Kit was considered acceptable if greater than or equal to 99% and the D7S486/CEP 7 probes was 100% (200/200) (95% CI 98-100%).

		Number of Me Chromosome S	Analytical Specificity	
Probe	Correct	Hybridized to Total		(95%
	Target Locus	the Correct Hybridized		Confidence
		<b>Target Locus</b>	Signals	Interval )
LSI D7S486	7q31	200	200	100 %
				(98, 100)
CEP 7	7p11.1-q11.1	200	200	100 %
				(98, 100)

# f. Assay cut-off:

Same as reference range (see #5 below)

# 2. Comparison studies:

a. Method comparison with predicate device:

Not applicable

b. Matrix comparison:

Not applicable

#### 3. Clinical studies:

a. Clinical Sensitivity:

Not applicable

b. Clinical specificity:

Not applicable

c. Other clinical supportive data (when a. and b. are not applicable):

The sponsor provided two peer-reviewed published papers to support the clinical validity of the device in characterizing bone marrow and peripheral blood specimens from patients with AML or MDS. Sponsor provided additional a third paper that used specimen from patients with MMM. The studies described in the three papers used the Abbott Vysis D7S486/CEP 7 FISH Probe Kit. This information is listed in the table below:

Conditions	Data Source 1	Data Source 2	Data Source 3
	Vance et al <sup>1</sup>	Cherry et al <sup>2</sup>	Tefferi et al <sup>3</sup>
Was the specific device (probe) under review in this submission used in the study?	Yes	Yes	Yes
Was the specimen type in the study representative of the claimed specimen type(s)	Yes	Yes	Yes
Target population (disease status)	AML	MDS	MMM

Upper reference limit (percentage and	(1R1G sign	al pattern)	4.5% 9/200 nuclei	4.5% 9/200 nuclei	Not Applicable
per 200 nuclei)	(1R2G signal pattern)		6.5% 13/200 nuclei	6.5% 13/200 nuclei	6.5% 13/200 nuclei
Total Number of specimens tested for each claimed type	Bone Marrow		179	48	42
	Peripheral I	Blood	47	Not Applicable	42
Number of specimens with a positive probe result	Bone Marrow	1R1G	5	2	Not Applicable
		1R2G	4	3	2
	Peripheral	1R1G	1	Nat Amelicable	Not Applicable
		1R2G	3	Not Applicable	1
Range of positive probe results	Bone Marrow	1R1G	70-96%	23 & 87.5%	Not Applicable
		1R2G	58-93%	22.5-44%	9 and 16%
	Peripheral	1R1G	11%	Not Applicable	Not Applicable
	Blood	1R2G	37-96%	Not Applicable	27%

AML= acute myeloid leukemia; MDS= myelodysplastic syndrome; MMM= myelofibrosis with myeloid metaplasia.

#### **REFERENCES**

- 1. Vance GH, Kim H, Hicks GA, et al. *Utility of interphase FISH to stratify patients into cytogenetic risk categories at diagnosis of AML in an Eastern Cooperative Oncology Group (ECOG) clinical trial (E1900) Leuk Res* 2007; 31:605-09.
- 2. Cherry A, Brockman S, Paternoster, S et al. Comparison of interphase FISH and metaphse cytogenetics to study myelodysplastic syndrome: an Eastern Cooperative Oncology Group (ECOG) study. Leukemia Research 2003; 27: 1085–1090.
- 3. Tefferi A, Meyer R, Wyatt W, et al. Comparison of peripheral blood interphase cytogenetics with bone marrow karyotype analysis in myelofibrosis with myeloid metaplasia. British J Hematology 2001; 115: 316-319.

#### 4. Clinical cut-off:

Not applicable

# 5. Expected values/Reference range:

The upper reference limit is defined as the maximum quantity of scoreable interphase nuclei with an altered signal pattern from karyotypically normal specimens. The upper reference limit is expressed in terms of a percentage, or the actual number of a specific atypical nuclear FISH signal pattern per the standard number of nuclei tested.

The upper reference limit for 4.5% or nine 1R1G patterns per 200 scoreable interphase nuclei, and the upper reference limit is 6.5% or thirteen 1R2G patterns per 200 scoreable interphase nuclei. The 4.5% and 6.5% upper reference limits were adopted from the publication of Vance et al, who utilized the Vysis D7S486/CEP 7 FISH Probe.1

In order to validate the 4.5% and 6.5% upper reference limits, the Vysis D7S486/CEP 7 FISH assay was performed on interphase nuclei from 25 bone marrow and 25 peripheral blood specimens from either karyotypically normal individuals or patients lwith signal patterns of 1R1G or 1R2G. The orange and green signal patterns of 200 nuclei for each specimen type were evaluated by each of two technologists scoring 100 nuclei per specimen.

Among the 25 karyotypically normal specimens for both peripheral blood and bone marrow, none produced 1R1G and 1R2G signals above the 4.5% and 6.5% upper reference limits, respectively.

## N. Proposed Labeling:

The labeling is sufficient and it satisfies the requirements of 21 CFR Part 809.10.

#### O. Conclusion:

The submitted information in this premarket notification is complete and supports a substantial equivalence decision.